

# Lecture 3C: Error Correction

UC Berkeley EECS 70  
Summer 2022  
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# Announcements!

- Read the Weekly Post
- **HW 3** and **Vitamin 3** have been released, due **Today** (grace period Fri)
- Tarang's Last Lecture, Michael will begin starting next week
- Midterm is 7/15 (6-8p)
- Midterm Scope
  - Notes: 1-11
  - HW: 1-4
  - Lectures: 1A-4B
  - Discussions: 1A-4B
  - Topics: Up to and including countability. (Computability will not be on the midterm)
- Midterm format will be different from previous semesters. More proofs.

# Review

Property 1: A non-zero polynomial of degree  $d$  has at most  $d$  roots

Property 2: Any  $d+1$  points define a unique degree  $d$  polynomial

Claim 2: A polynomial of degree  $d$  with roots  $a_1, \dots, a_k$  can be written as  $p(x) = c(x-a_1)\dots(x-a_k)$ .

From Discussion 3B:

if  $f$  and  $g$  are degree  $x$  and degree  $y$  then

- $f + g$  is at most degree  $\max(x, y)$
- $f \cdot g$  is at most degree  $x + y$
- $f / g$  is at most degree  $x - y$

# Review (cont.)

## Secret Sharing:

Problem: We need any  $k$  out of  $n$  people to agree to unlock some code.

Solution:

1. Create a degree  $k-1$  polynomial  $p(x)$
2. Encode the secret in the polynomial ( $p(0) = \text{“secret”}$ ).
3. Give a point that the polynomial contains to each person (generate  $n$  points)
4. Any  $k$  points can be used to reconstruct the degree  $k-1$  polynomial  $p(x)$

# Review of Gaussian Elimination

Why do  $d+1$  points define a degree  $d$  polynomial uniquely?

A degree  $d$  polynomial has  $d + 1$  coefficients:

$$f(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_2 x^2 + a_1 x + a_0 \pmod{p}$$

So, we need  $d + 1$  equations to solve for  $d + 1$  unknowns.

We get  $d + 1$  equations by plugging in the  $d + 1$  points.

# Erasure Errors

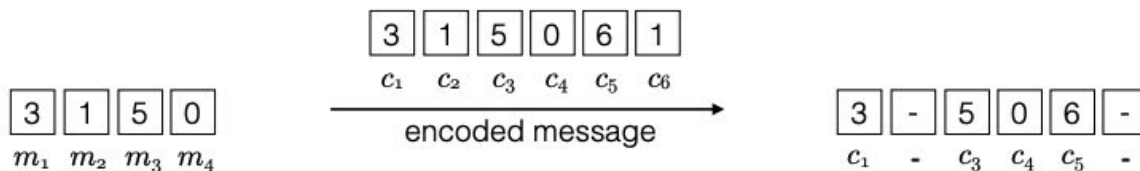
Send some message across an **unreliable** channel.

The channel randomly **drops**  $k$  packets.



How can we **recover** our original message? Polynomials!

We want to encode our message into a polynomial, and then generate  $k$  extra packets. Then with any  $n$  received packets we can reconstruct the polynomial and get the original message.



Construct a polynomial of degree \_\_\_\_\_ to protect against  $k$  erasures.

# Bob sends message with erasure protection

Bob wants to send the message “3 1 5 0” to Alice.

Bob knows that at most 2 packets will drop when sending the message to Alice.

$n := \text{message length}$  (4)                       $k := \text{maximum erasures}$  (2)

Message “3 1 5 0” become points “(1, 3)” “(2, 1)” “(3, 5)” “(4, 0)”

Find a degree 3 polynomial that goes through these points in  $GF(7)$

3	1	5	0
$m_1$	$m_2$	$m_3$	$m_4$

What are the extra points Bob generates?

# Alice receives message with erasure errors

3 - 5 0 6 -

Alice receives the points  $(1, 3)$ ;  $(3, 5)$ ;  $(4, 0)$ ;  $(5, 6)$ . How can Alice reconstruct the polynomial?



# General Errors

Send some message across a **noisy** channel.

The channel randomly changes (**corrupts**)  $k$  packets



How can we **recover** our original message?

This is much harder than Erasure Errors because...

1. locate where the error occurs
2. recover the correct value

Erasure Errors: Send  $n + k$  packets to protect against  $k$  erasures

General Errors: Send  $n + 2k$  packets to protect against  $k$  **corruptions**.

# Solution: Berlekamp-Welch

Message:  $m_1, \dots, m_n$  (length =  $n$ )

## Sender:

1. Form degree  $n-1$  polynomial  $p(x)$  where  $p(i) = m_i$
2. Send  $p(1), \dots, p(n + 2k)$

## Receiver:

1. Receive  $r_1, \dots, r_{n+2k}$
2. Solve  $n + 2k$  equations,  $q(i) = e(i) r_i$  to find  $q(x) = e(x)p(x)$  and  $e(x)$
3. Compute  $p(x) = q(x)/e(x)$
4. Compute  $p(1), \dots, p(n)$  to get original message

Here  $r_i$  are the received points possibly with errors.

$p(x)$  is the original polynomial the sender used, receiver doesn't know yet

$e(x)$  is an error locator polynomial.  $e(x) = (x-e_1)\dots(x-e_k)$  where  $e_i$  is the index where the error occurs

$e(x) = 0$  when you plug in a  $x$  value where error occurs. Receiver doesn't know  $e(x)$  yet.

$q(x) = e(x)p(x)$ . So, we find  $q(x)$  and  $e(x)$  to get  $p(x)$ .

# Berlekamp-Welch (cont.)

## Receiver:

1. Receive  $r_1, \dots, r_{n+2k}$
2. Solve  $n + 2k$  equations,  $q(i) = e(i)p(i) = e(i) r_i$  to find  $q(x) = e(x)p(x)$  and  $e(x)$  is error locator polynomial.  $e(i) = 0$  when there is an error in index  $i$
3. Compute  $p(x) = q(x)/e(x)$
4. Compute  $p(1), \dots, p(n)$  to get original message

What is the degree of  $q(x)$ ? \_\_\_\_\_ How many unknowns? \_\_\_\_\_

What is the degree of  $e(x)$ ? \_\_\_\_\_ How many unknowns? \_\_\_\_\_

We have \_\_\_\_\_ unknowns in total and \_\_\_\_\_ equations

# Bob sends message with corruption protection

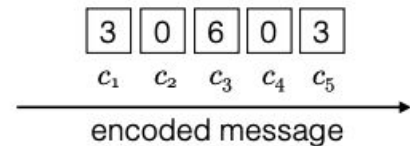
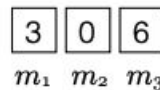
Bob wants to send the message “3 0 6” to Alice.

Bob knows that at most 1 packet will be **corrupted** when sending the message to Alice.

$n :=$  message length (3)                       $k :=$  maximum corruptions (1)

Find a degree 2 polynomial that goes through these points in  $GF(7)$

What are the extra points Bob generates?



# Alice receives message with corruption errors

2	0	6	0	3
$r_1$	$r_2$	$r_3$	$r_4$	$r_5$

How can Alice find where the error is and fix it?

# Alice receives same message with NO corruption errors

3 0 6 0 3

Will Alice still get the same correct answer?

# $p(x)$ is unique from Berlekamp-Welch

Thm: Any solution to Berlekamp-Welch will result in the same final  $p(x)$

Proof: