# Lecture 4D: Discrete Math Review

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

## Announcements!

- Everyone should've received an email confirming their exam format
- If you're taking the exam in-person show up to Pimentel 1 at 5:50 pm
  - Exam begins at 6 pm
- If you're taking the exam online you should have received a Zoom Link
  - Follow the online proctoring instructions shared on Piazza
  - Exam begins at 6 pm
  - Working past your allotted time (8pm for regular test takers) will be considered academic misconduct
- Answer sheet will be available tomorrow morning. Remote test takers are responsible for printing it out before the exam.

# Lecture 1A: Introduction, Proposition Logic

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

## **Course Overview**

### Course Webpage: <u>www.eecs70.org</u>

Explains policies, calendar for OH, HW, midterm dates, schedule, etc

### **Course Format**

Lecture  $\rightarrow$  Mon-Thu 12:30-2p Dwinelle 155 (and live Zoom/recorded)

Discussion  $\rightarrow$  Mon-Thu. Will cover content from that day's lecture.

Office Hours  $\rightarrow$  See <u>eecs70.org/calendar</u> for location and times. Submit tickets on <u>oh.eecs70.org</u>

## Course Overview (cont.)

Software uped on Cyallery "

bCourses  $\rightarrow$  Lecture NecondMys Gradescope  $\rightarrow$  HWs and Vitamins

Piazza  $\rightarrow$  Questions, Communications, Everything else!

Email: cs70-staff@berkeley.edu  $\rightarrow$  Personal questions, extenuating circumstances, etc

Top Bar Attendance Form  $\rightarrow$  Attendance Credit

Weekly Post

On Piazza. It is required reading every week.

## Course Overview (cont.)

### Check you are enrolled in these services

bCourses, Piazza, Gradescope. Please email <u>cs70-staff@berkeley.edu</u> if not enrolled.

### DSP

You should have received an email from Nikki Suzani. Please email us if you have not.

### Incomplete

If you are finishing an incomplete this semester please email us with the conditions of your incomplete.

## Assignments

 $\textbf{Homework} \rightarrow \text{released weekly on Saturday morning}$ 

Due every Thursday. No penalty grace period until Friday 11:59 pm. Graded on accuracy.

Material from last WTh and this MTue

**Vitamins**  $\rightarrow$  released weekly on Saturday morning

Due every Thursday. No penalty grace period until Friday 11:59 pm Graded on accuracy. Instant feedback on your answers.

Material from this week's MTuWTh lecture

### **Discussion Attendance**

1 point for each discussion. 13 needed for full credit

### Exams

Midterm 7/15 Time 6-8p, Final 8/12 Time 6-9p. No Character of Mar

<u> </u>		
	<b>Discussion Attendance</b>	5%
>	Vitamin	5%
	Homework	20%
	Midterm	30%
	Final	40%



## Instructors

Tarang: First third of the course

Michael: Secord third of the course

Jingjia: Last third of the course



### Tarang Srivastava (he/him)

#### tarang.sriv@ • website

Hi! I'm a fourth year Math and CS double major. I have been a TA for 5 semesters and Head TA for 3, I'm very excited to be teaching yall this semester!



### Michael Psenka (he/him)

psenka@ • website

I'm a 2nd year PhD student in BAIR–I currently work on representation learning in computer vision and robotics. I did my undergrad in math, and I continue to enjoy bringing my math nerdiness into my CS research. Outside of work, I play piano (& attempt at music production), Smash, chess, and snowboard.

Jingjia Chen (she/her) jingjia.chen@

## Collaboration

We highly encourage collaboration! So, let's define what that means. (Professor Sinclair)

Discussing approaches to problems is encouraged!

As long as you reach a good understanding of the final solution

You should not allow concerns for cheating to get in the way of discussing problems with your peers

How we recommend collaborating...

Post on Piazza and read the relevant homework threads

Come to OH. It's okay to just chill there even if you have no questions

Cases of Academic Misconduct will be dealt with by the course staff and Center for Student Conduct

## Why CS70?

 $Programming + Microprocessors \rightarrow Superpower$ 

What are your computers doing?

Logic and Proofs!

Ex: Induction = Recursion

What can computers do?

Work with discrete objects

Discrete Math  $\rightarrow$  immense applications

Computers learn and interact with the world?

Probability  $\rightarrow$  Ex: machine learning, data analysis, robotics,

Our goal: teach you to think more critically and powerfully...and to deal clearly with uncertainty itself.

## Tips for CS70

## READ THE NOTES! READ THE NOTES! READ THE NOTES! &

- Reading mathematical text is not the same as reading regular non-fiction.
- Read non-linearly. Jump around. Keep a pencil in hand. Work out examples.
- We will hold specific OH this week to give some tips on how to best read the notes. This is a skill we hope you pickup in this class.
- Reading the notes takes time. Allocate 1-2 hours for each note
- There is a myth that you need "mathematical maturity" to do well in this course.
- Give yourself plenty of time to think about homework problems.

## Announcements!

- Join Piazza. Read the Welcome Post
- Discussions start today, signup link is on Piazza 🖓 👌 🗛
- Office Hours start today, see course calendar on website
- **HW1** and **Vitamin1** have been released, due Thu (grace period Friday)

## Propositions: Statements that are true or false

Statement	Is it a proposition?	true/false?
Square root of 2 is irrational	Ves, proposition	tre
2 + 2 = 4	Yes, prop.	tove
2 + 2 = 3	Yes, prop.	falle
Tom Hanks is in Forrest Gump	Yes, pop	tre
Tom Hanks is a good actor	No its not prop	
2 + 2	po	-
2 + x = 5 Free variable	po	
Any even > 2 is a sum of 2 primes	Ves, prop	False

## Using variables to denote propositions

P = "I am Oski" Q = "I am Carol Christ"

Operation	Symbol	Meaning	Example
Conjunction	PAQ	P ANP Q mout both be tope	I am oski and I am carol chist
Disjunction	PVQ	15 the	I an oshi or I an carol clarist
Negation	7P	not P	I am not oski

## **Truth Tables**

A way to systematically record what an operation on propositions is doing.

			AMD					
	P	Ø	PAQ	PVQ	7PVQ	7P	PV7P	
	Τ.	Т	Т	1	(The second seco	F	T	
	Т	F	F	Т	F	P	Т	
	F	Т	F	Т	7	Т	Т	
	F	F.	F	F	T	Т	Т	
Law af the excluded middle: Pit the or 7P is true (Lat not both)								
A propositice that is always the tautology (PV-72)								
A proposition that is always take contradiction (P17P)								
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## Converse, Inverse and Contrapositive

	1	I			Converse	Inverse	Contapositive	
P	Q	7P	72	P=7Q	$Q \Rightarrow P$	7P=> 7Q	7Q = 7P	PERQ
4	Т	F	F	T	Т	Т	T	7
Т	7	F	Т	F	Т	Т	F	F
F	T	T	F	5	f	F	Т	P
F	F	T	Т	Т	Т	Т	Т	$\mathcal{T}$
Converse: if you like prot., ten you like P->Q A Q >? > P A Q >? > Q 70 P if and any if Q								
Contra positive						Pitta		

## Logical Equivalence

**Propositional formula** is an expression made up of propositional variables combined with logical operators.

Two propositional formulas are **logically equivalent** if they have the same 7Pva truth table. PAR 7Q -7P Q 7 Example: F F F equivaent les tre implication t T = 72 >> 7? = 7PVQ

## **Predicates and Quantifiers**

Predicates: Statements with free variables. Ex: Q(x) = 2x is even

Predicates by themselves are **not** propositions. Adding a quantifier and a universe allows us to state multiple propositions at once.

Q(2)

Natural numbers n, n<sup>2</sup> + n + 41 is prime Example: From Note 0: Universe goatter  $\mathbb{N} = \mathcal{O}_{1} |_{1} \mathcal{L}_{1} \mathcal{I}_{1} \dots$ (ANGM) (n2+n+111 is prime) 2=. -2,-(,0,1). 72 = 1,2,3,4. 02+0+41 is pre Q = P/of For PAEZ 12+1+u1 ir pmp R: real wasers 22+2+41 is prive  $S = \{ \mathcal{D}, \Delta, \Box \}$ 

for all x EIN

(22 5 Ques)

## "For All" and "Exists"

 $\forall$  "For all" means for all the values in the universe P(x) is true

**3** "Exists" means there is at least one value x in the universe for which P(x) is true





DeMorgan's Law for Quantifiers  $7(\forall x \in S) P(x) \equiv (\exists x \in S) 7 P(x)$ Example :

P(x) x2>10

S= {1, 2, 3, 4}

## Review

# Lecture 1B: Proofs

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## **Announcements!**

- Join Piazza. Read the Welcome Post
- Should be up croud 4pm Lecture is posted under "Media Gallery" in bCourses Lined on websile
- Evelyn's 6-7 pm discussion is now hybrid
- Signup and attend discussion
- **HW1** and **Vitamin1** have been released, due Thu (grace period Friday)

## What is a proof? $P \gg K \approx 8 \approx 7... \gg Q$

A **proof** is a finite list of statements, each of which is logically implied by the previous statement, to establish the truth of some proposition.

The power here is that using finite statements, we can guarantee the truth of a statement with infinitely many cases.  $P_{o} \neq P_{v}$  lector

<u>Advice</u>: When writing proofs, imagine a very skeptical friend is reading over your proof who questions every statement you make.

Since you're learning, try to be more formal in your proof writing

### ~ How to prove things?

Structure	How to generally prove it				
PAQ	Prove P and Prove Q				
$(P \Rightarrow Q)$	Assue P is the fler show the R follow (also the)				
PiffQ P <>> Q	Provy P=> Q are Provy Q => P				
(JRES) P(2)	Provide some res and prove P(x)				
(frees) P(r)	Let re be arbitrary in S ad prove P(ry				

You can also replace the proposition to be proved with something logically equivalent that has a different structure. Example: PSR , 7PVQ 7Q=>>P Contaposition

## Direct Proof (Example 1)

Theorem: For every natural number there is a natural number greater than it

Proof: Let n be an arbitrary natural number. Goal:Observe that nell is also a natural number. Goal:Since, n+i = n we have found a natural number greater than n. Since, n was arbitrary the statement holds  $\forall n \in IN$ .

Goal: P⇒Q Hoelled: Assue P Step Conclose Q

Three we assured 1) n + 1 is natural 2) n + 1 > 0

Direct Proof (Example 2 all 6 if no remainder P=>Q Definition: For  $a, b \in \mathbb{Z}$  we say a|b iff  $\exists q \in Z$  such that b = aqwork Theorem: For any  $a, b, c \in \mathbb{Z}$  if a|b and a|c then a|(b-c)AL IS Proof: alc Let a,b, c EZ be arbitrary ad assure C=aqz b= ag, alb and alc. So, by Definition b=aq, and c=aqz for some q, qz 6 Z.  $b-c = aq_1 - aq_2$ ner, b-c = aq-aqz = a(q1-q2). Since  $= a(q_1 - q_2)$ 9,-92 GZ it follows by definition that 62 a1(b-c) Lesson: Use your deflictions (

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## Proof by Contraposition

Definition:  $n \in \mathbb{Z}$  is even if  $\exists k \in \mathbb{Z}$  such that n = 2kDefinition:  $n \in \mathbb{Z}$  is odd if  $\exists k \in \mathbb{Z}$  such that n = 2k + 1Theorem: For every  $n \in \mathbb{Z}$  if  $n^2$  is even, then so is n. So Proof: R Let u be an integer. We will proceed by Contraposition and show that if n is odd, then  $n^2$  is odd. By definition, h = 2k + (kkEZ)then  $N^2 = MK^2 + 4Kt = 2(2K^2 + 2K) + 1$ Since, 2n2+zh ez by definition n2 is add. Vsefel Hx P(x) => Hy P(y) 7(Hy P(y)) => 7(Hx P(x))

Jy 7P(y) => ∃r 7P(y)

Let's try directly  $N^2 = 2k$   $N = \sqrt{2k}$ ? Contraposite God: P=)Q Mothod: prove 7Q =>7P Comaposae: if in is ord, ten uz is and M = 2K + 1 $u^{2} = 4u^{2} + 4u + 1$  $N^2 = 2(2k^2 + 2k) + 1$ 67

Proof by Cases (Example 1)Theorem: For all $n \in \mathbb{N}, 3   (n^3 - n)$ Goal : PProof:Motion : $R_1 \times \times R_n + n$ Let $n \in \mathbb{M}$ Show $R_1 = 2P$	$\frac{Scrafch Wark}{n^{3}-n} = 32$ $n(n^{2}-1) = 32$ $n(n-1)(n+1) = 32$
$\frac{2}{10000} = \frac{1}{10000000000000000000000000000000000$	$2^{5} - 2 = 8 - 2 = 6$ $3^{3} - 3 = 27 - 3 = 27$ 2(2 - 1)(2 + 1) = 6 = 3(2)
$C_{05e} \geq : n = 3k - 1$ $n^{3} - n = (3k - 1) (3k - 1 - 1) (3k - 1 - 1)$ (3k - 1 - 1) (3k - 1 - 1) (3k - 1 - 1) (3k - 1 - 1) (3k - 1 - 1) (3k - 1 - 1)	3(3-1)(3+1) = 24 = 3(9) 4(4-1)(4+1) = 5(5-1)(5+1) = 6(6-1)(6+1) =
$C_{nse} = 3: n = 3k+1$ $n = (3k+1) (3k+1=1) (2k+1+1)$ $UC Berkeley EECS 70 - Tarang Srivastava$	7 (74) (749) Lecture 1B - Slide 8

## Proof by Cases (Example 2)

Definition: A real number r is **rational** if there are  $p, q \in \mathbb{Z}$  such that  $q \neq 0$ and  $r = \frac{p}{q}$ . Otherwise, r is **irrational**. Theorem: There exist irrational x and y such that  $x^y$  is rational. Proof:

Case 1: 
$$J\overline{z}^{J\overline{z}}$$
 is nontional. Then, we are done,  $x=y=J\overline{z}$   
Case 2:  $J\overline{z}^{J\overline{z}}$  is irrational. Let  $w=\sqrt{z}^{J\overline{z}}$  and  $y=J\overline{z}$   
 $w^{y} = (J\overline{z}^{J\overline{z}})^{J\overline{z}} = J\overline{z}^{J\overline{z}} \cdot J\overline{z}$   
Since 2 is nothing for  $x=J\overline{z}^{J\overline{z}}$  and  $y=J\overline{z}$  velve found an example  
that satisfies the claim.

re@ iff v=k

Assued E is Mationa)

## Proof by Contradiction

A **proof by contradiction** proves a proposition "P" by first assuming "not P" is true. That is, the opposite of P is true.

Then, it follows logical steps to arrive at a contradiction by proving both some proposition "R" and "not R".

Why does this work?

7P=>RAJR=F ΞP て ⇒ P FIJPZA TOP

## Proof by Contradiction (Example 1)

ナニシ Definition: A real number r is **rational** if there are  $p, q \in \mathbb{Z}$  such that  $q \neq 0$ and  $r = \frac{p}{q}$ . Otherwise, r is **irrational**. P.g. Showe Theorem:  $\sqrt{2}$  is irrational Proof: Assure for contradiction bet JZ is varional. Then, by definition  $\sqrt{2} = \frac{p}{q}$  for some  $p, q \in \mathbb{Z}$ ,  $2 = \frac{p^2}{qz} \Rightarrow p^2 = 2qz$ . So, by def. is even. From an earlier thus, if p<sup>2</sup> is even, then p is even. So, p = 2k for some  $K \in \mathbb{Z}$   $(2h)^2 = 4k^2 = 2q^2 = 2q^2 = 2k^2$ .  $q^2$  is the ever So q is even. This is a contradiction since p and q share a common factor of Z. Thus, JZ must be irrational.
# Proof by Contradiction (Example 2) NOT COVERED Theorem: There's infinite prime numbers DURING LECTURE

Every non-prime nomber has a prime divisor (ash students)

Assume for contradiction there are finite prime numbers. That is P1, P2, ..., Pn are all the prime numbers. Let q= P1. P2. .... Pn Consider 9+1. Clearly 9+1 > Pn, where Pn is the largest prime number. So 2+1 is not prime, thus it has a prime divisor. That is, the exists some prime x 19+1. Since x is prime, x & EPI, ..., Ang ad x |q. By provious Lemma 1, if x1q and x1q+1, the x 1(q+1-q). That is, x 1 but only 111 and x # 1. This is a contradiction, so there must be Mfinituly many prime numbers.

Proof:

Incorrect Proof

Theorem: [ = 2

Proof: Far x=y we have  $x^2 - xy = x^2 - y^2$   $\partial Nide leg zers$   $\pi(x-y) = (x-y)(x+y)$   $\pi = x+y$ l = 2

Summary	NOT COVERED DURING LECTURE
Proof Technique	General Procedure
Direct Proof	God: P=7Q Method: Assure P isteps Conclude Q
Proof by contraposition	Goal: P=>Q Method: prove 7Q=>7P
Proof by contradiction	Goal: P Method: Assure 7P Prove R Prave 7R
Proof by cases	Goal: P Motod: Show R, Y VRy is the Show Ri⇒P Show Rn⇒P

#### Few notes about what we did today

Write full proofs in your homework like we did today, but on discussion you can just write an outline/sketch of the proof.

No one gets the complete proof immediately, there's a lot of scratch work and thinking before you can write the proof.

Remember! Every step in your proof must be justified and follow from previous steps.

Usually how things go:

- 1. Think about problem
- 2. Do some scratch work
- 3. Come up with solution
- 4. Try to write a proof
- 5. Realize solution is wrong

#### FAQ

#### How do I get started?

Think about the definitions that may be relevant. Maybe a theorem or lemma that was in the notes.

#### I'm stuck?

Try doing a bit of scratch work to see if you missed some pattern. Read over what you currently have in the proof. Try proving an easier statement or an intermediary statement.

#### Is my proof correct?

Question every statement. Does it follow from a definition or previous statement?

#### Review

## Lecture 1C: Induction

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

#### Announcements!

- Lecture is posted under "Media Gallery" in bCourses
- HW1 and Vitamin1 have been released, due Today (grace period Friday)

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#### What is induction?

Goal in induction is to prove some statement for all natural numbers

Principle of Induction

- Base Case: **Prove P(0)**
- Inductive Hypothesis: **Assume P(n)**
- Inductive Step: **Prove**  $P(n) \Rightarrow P(n+1)$

Direct Proof P=7Q

$$(\forall n \in \mathbb{N}), P(n)$$

### Visual Analogy

Prove all the dominos fall down

- P(0) = "First domino falls" Base Case
- $P(k) \Rightarrow P(k+1)$  [kth domino falls implies that k+1st domino falls" In which show

P(s)

54 K

Even if you had infinite dominos lined up, this method would prove all of them will fall down (More on this Week 4).

Countability

#### Simple Induction (Example 1)

Base Case

Inductive Hypothess

Theorem: For all natural numbers  $n, 0+1+2+...+n = \frac{n(n+1)}{2}$  Inductive Step Proof:

Broe Case: N=0 0 = 0(0+1) = 0 / Ind. Hyp.: Assure for some n=KZO A is the that Ot It ... + K = K(KH) Ind. Step: Prove that for M= K+1 the claim holds 1+2+...+ (K+1)= (K+1)(K+2)  $\frac{2}{1+2+\ldots+k+(k+1)} = \frac{k(k+1)}{2} + \frac{2}{(k+1)} = \frac{k^2+k+2k+2}{2} = \frac{(k+1)(k+2)}{2}$ The second equality holds from the <u>Mountive hypothesis</u>. This, the theorem holds by Modertion.

#### Simple Induction (Example 2)

Theorem: For all  $n \in \mathbb{N}$ ,  $3|(n^3 - n)|$ Proof: We mout on the variable n Base Case: N=0 3/03-0. This is trivially the. Ind. Hyp: For n=k assure 3/K3-K i.e. Jg. S.t. K3-K = 39 Incl. Step: We wish to show that for n= k+61  $3(k+1)^{3}-(k+1)$  $(k+1)^{3} - (k+1) = 3P$  PEN  $k^{3} + 8k^{2} + 3k + 1 - (k+1) = 3p$  $k^{3} - k + 3k^{2} + 3k + 7 = 3p$ From the MD. hyp. 3q, + 3h2 + 3h  $3(q+h^2+k) = 3p$ by lef. it follows that (k+(13-(k+1)) is divisible by I GN  $P = q + k^2 + k$ UC Berkeley EECS 70 - Tarang Srivastava Lecture 1C - Slide 6

#### Simple Induction (Example 3)

Theorem: Any map formed by <u>dividing the plain</u> into regions by drawing straight lines can be properly colored with two colors Proof: We will mout on the nonser of thes. Lot n # of thes Base Case: 12=0 Color the white plan one color Ind hyp: For n=k thes assure A is two coloroste Ind Step: Consider on orbitrory map with K+1 lines. Then, remove one like from the map. By M. hyp. this New map with k likes is two colongile. They add back the life that was remard and flip all the colour on one side of the INE. By constructions all the regions adjoinents to the life that was added have liftment colors. then, the new ion that was not flipped. Is correctly colored by hyp. That was flipped, is also two colored by hypothesis since ve just charged the labelys. UC Berkeley EECS 70 - Tarang Srivastava Lecture 1C - Slide 7

Improving Induction Hypothesis (Examp	ole 1)	
"Strengtheory" Theorem: The sum of the first $n$ odd numbers is a perfect square	1	r = 12
Improved: The sim of the first word numbers is we Proof:	145	= 22
Base Case he I = 12	1+3+5	- 32
Ind Hyp: Assure H 3+ St. + (24-1) = K2	1+3+5+7	$' = 4^2$
first k	N>K	
Ind Step: Wish to show	17 34 ··· +(ch-1)	
$[+3+5++(2k-1)+(2k+1)] = (kt1)^2$	K2+ 2k+1	~ (nei) <sup>2</sup>
$k^2 + 2k + 1$ by hyp.		
(k+1) <sup>2</sup> = D		
		I ( 10 01:1 0

# Improving Induction Hypothesis (Example 2)

Theorem: For all  $n \ge 1$ ,  $\sum_{i=1}^{n} \frac{1}{i^2} \le 2$ 

Improved:

Proof:

# What is Strong Induction? Goal:

Principle of Strong Induction

- Base Case: **Prove P(0)**
- Inductive Hypothesis: Assume P(0) and P(1) and ... and P(n)
- Inductive Step: **Prove P(0) and** ... **and P(n)** ⇒ **P(n+1)**

$$P(o) \land P(i) \land \dots \land P(n) => P(n+i)$$

#### Strong Induction (Example 1)

prime factorization

Theorem: Every natural number greater than 1 can be written as a product of one or more primes Proof:

Base Case: N=Z. 2 is prime SD it's prime functorization s jod 2 Ind. Hyp: Assume alaim holds for all ILNSK Ind Step! let n=key Case 1: K+1 is prime. We one dore Case 2: Ktl is composite. Therefore, JA, bEN, ktl =a.b Since , K+ ( >1 => 12a, 5 C.K.M. Then, by the ind. hyp. a and 6 con be written as a product of primes. Thus, K+1 can be written as a product of a ad b's primes.

Strong Induction with Multiple Base Cases (Example 2) Theorem: For every natural number  $n \ge 12$ , it holds that n = 4x + 5y for some n=12 ~  $x, y \in \mathbb{N}$ CILT Proof: K= 4x+Sy Base Cases N=12 x=3,y=0 12 = 4(3) + 5(0)V(x=t) - S[y=t)  $K + I = 4 \times + 5yI$ 12 Z 4(3) T 5(0) -13 = 4(2) + 5(1)< 14 = 4(1) + 5(z)15 = 4(0) + 5(3)16= 12 ~4 Ind Hyp: Assure claim holds for all 125 NEK 42+54 Ind Step: N=K+1 216. Then, (K+1)-4212 Y(2+()+5 By the MD. hyp. (K+1)-4 = 4x1 + 5y' for some x', y'EN Untsy ty K + 1 = 4x' + 3y' + 9 = 4(x' + 1) + 5y'. So, then can set x=xel+1 and y= yl V(xx)+2, 120 141= 4xe +5y Lecture 1C - Slide 12 UC Berkeley EECS 70 - Tarang Srivastava

#### Why ever use weak induction?

Weak Induction  $\Rightarrow$  Strong Induction

If you wanted to you could always use strong induction

It is nicer to only use weak induction if strong induction is not needed.

Lit's casier for the reader Leasier to control mistakes

#### 

The Well-Ordering Principle states that for any non-empty subset of the natural numbers there will be a least element.

Theorem: Every natural number greater than 1 can be written as a product of one or more primes Proof using WOP: Let s be the set of notwal numbers that cannot be written as a product of primes. Assume four contradiction that S is not empty. By WOP, S has a least element n Clearly, n is not prime. So, we can write n=a.b a, WEN. It Follows that a ar & doesn't have a prime factorization. Without loss of generality (whog) say a could be written as a product of primes. Notice, since N>1 12ach. This is a contradiction because then a GS, but we said in is the least element ! Thus, 5 is empty and theorem hards. UC Berkeley EECS 70 - Tarang Srivastava Lecture 1C - Slide 14

#### Summary

- Simple Induction
  - $\circ \quad P(0) \text{ and show } P(n) \Rightarrow P(n+1)$
- Multiple Base Cases
  - You may need multiple base cases to prove a statement
- Improve the Inductive Hypothesis
  - Sometimes proving a "stronger" statement is easier
- Strong Induction
  - $\circ \quad P(0) \text{ and show } P(0) \text{ and } \dots \text{ and } P(n) \Rightarrow P(n+1)$
- Well Ordering Principle
  - For any subset of the naturals there is a least element

#### Review

# Lecture 2A: Graph Theory I

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

#### Announcements!

• Read the Weekly Post



- Tarang's OH 4-6p in Woz Lounge (Zoom also-same link as lecture)
  - First 30 minutes for conceptual question
  - Last 90 minutes for reading Note 5 together and question about the note
  - Will not prioritize HW questions. Use regular OH for that.
- HW 2 and Vitamin 2 have been released, due Thu (grace period Fri)
- We are adding a bit more OH support, but also work on the HW early
- Throughout this lecture **<u>definitions</u>** will be underlined

182 10220

#### Undirected Simple Graph Definitions

An undirected simple **graph** G = (V, E) is defined by

1. A set V of <u>vertices</u>. Sometimes we may call it a <u>node</u>.

ミレノノニ

2. A set E of <u>edges</u>

EA, 83 = EB, 43

Where edges in E are of the form  $\{u, v\}$  for u, v in V and  $u \neq v$ .

A graph being **<u>simple</u>** here means no parallel edges

A graph being **<u>undirected</u>** means there's no direction to the edges

Su uz

Examples:

#### **Directed Graph Definitions**

Edges in a <u>directed graph</u> are defined as (u, v). That is, the order of the vertices matters. Therefore,  $(u, v) \neq (v, u)$ . Examples:

T tope



#### Edge and Degree Definitions

Given an edge  $e = \{u, v\}$  we say

- e is **<u>incident</u>** to u and v
- *u* and *v* are <u>neighbors</u>
- *u* and *v* are <u>adjacent</u>
- <u>ghbors</u>

N

- The <u>degree</u> of a vertex v is the number of incident edges
  - $deg(v) = |\{v \text{ in } V \mid \{u, v\} \text{ in } E\}|$

Examples:





 $A = \{1, 2, 3\}$ 1 size Handshake Lemma |A| = 3Lemma: The sum of the degree of all the vertices is equal to  $2|E|^{2}$  "cardinally" Proof: Proceed to the degree of all the vertices is equal to  $2|E|^{2}$ Proof: Proceed by Induction on IEI=m Base Case: M=0. A graph has no edges if all the vertices are ising (i.e. no replaces) this each varies is lynce o Ind Hyp: Assume claim holds for m=k edges, ... sund dances is 2K the Step: Consider on arbitrory graph Gwith K+1 edges. Remake any edge from G. The iew graph has the edges, and by the Wuthe hypotrosis sum. of denses is 2k, Then adding back the ege we and I degree to each incident vertex. This sum of dgas  $15 n \cdot \sqrt{3} 2k + 2 = 2(k + 1) as deslie$  $<math>5 \pm \frac{1}{2} = \frac{1}{2} \frac{1}$ 

#### Path, Cycles, Walks and Tours

Deals with Vertices (though may imply things about edges): A, o, c Path: A sequence of vertices in G, generally with no repeated vertices.

**<u>Cycle</u>**: A path in G where the only repeated vertex is the first one and last one.

**Deals with Edges** (though may imply things about vertices):  $A \to B \to C$ **Walk**: Is a sequence of edges with possible repeated vertex or edges.

**Tour**: A walk that starts and ends at the same vertex.

**Eulerian walk**: A walk where each edge is visited exactly once.

**<u>Eulerian tour</u>**: An Eulerian walk that starts and ends at the same vertex

#### Summary Questions II



#### Connectivity

A graph G is said to be **<u>connected</u>** if there exists a path between any two vertices.  $V_i = \xi_{AB,C}$ 



Any graph always consists of a collections of <u>connected components.</u> A connected component is a set of vertices in the graph that are connected.



#### **Eulerian Tours**

**<u>Eulerian walk</u>: A walk where each edge is visited exactly once.** 

Eulerian tour: An Eulerian walk that starts and ends at the same vertex

Theorem: A undirected graph G has an Eulerian tourriff G is even degree, and connected.

Proof: in the notes





except fue verties tot ne off



## Graph Proof 98



False Claim: If every vertex in an undirected graph has degree at least 1, then the graph is connected. Proof: We use induction on the number of vertices  $n \ge 1$ 

- Base Case: There is only one graph with a single vertex and it has degree 0. Thus, vacuously true. Multiple Hypothesis: Assume the claim is true for  $n \ge 1$
- Inductive Step: We prove the claim is also true for n + 1. Consider an undirected graph with n vertices and each has degree greater than 1. By the inductive hypothesis, this graph is connected  $\sqrt{}$  Now add one more vertex x to obtain a graph with (n + 1) vertices.

Since, the previous graph was connected, and x is connected to some node y then there's a path between x and any other vertex through y, since by definition there's a path from y to any other vertex. Thus, the graph is connected.



p(n) => P(nti) Minimum Edges for Connectivity Theorem: Any connected graph with n vertices must have at least n-1 edges vertices n = |x| Induction 01 0< (1)-1 BASE Case: N=( 0 02905  $S = \partial Q_{f}(v)$ Ind Hyp: Assure claim holds ISUSK fer Ind Step: Consider a connocted graph & with u= K+1 vertices. Remove an articlery vertex v. Removing 4 suppose creaks 5 conno Colla components. By story induction and connect has Coyes, NZ-1 and Adding ... ky - 1 adds. Adding ve jpL back 5 edges to ad2 K1+K2 + ...+Kg -1-1-1 -- 1 (un1) -1 2 K - 9 1E( > K
## komplete Graphs

A graph G is **<u>complete</u>** if it contains the maximum number of edges possible.

k.

1/(

ku

Examples:

0

K

#### Trees

The following definitions are all equivalent to show that a graph G is a **tree**.

- 1. G is connected and contains no cycles
- 2. G is connected and has n-1 edges (where n = |V|)
- 3. G is connected, and the remove of any single edge disconnects G  $\checkmark$
- 4. G has no cycles, and the addition of any single edge creates a cycle



#### Tree Definitions are Equivalent

Theorem: For a connected graph G it contains no cycles iff it has n-1 edges. Proof:

#### Tree Definitions are Equivalent (cont.)

Theorem: For a connected graph G it contains no cycles iff it has n-1 edges.

#### Bipartite Graphs

A graph G is **<u>bipartite</u>** if the vertices can be split in two groups (L or R) and edges only go between groups.

Examples:

#### Review

# Lecture 2B: Graph Theory II

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

#### Announcements!

- Read the Weekly Post regried recording
- We have caught academic misconduct cases
- **HW 2** and **Vitamin 2** have been released, due **Thu** (grace period Fri)
- Throughout this lecture **<u>definitions</u>** will be underlined
- · OH vas yday

#### Minimum Edges for Connectivity

Theorem: Any connected graph with n vertices must have at least n-1 edges Proceed by strong modertion on n = |v|S= U Bare Cone: N=1 (1)-1=0 ./ Ind Hyp: Assure claim holds IGNER Ind Slep: Consider an arbitrary graph Groits n=k+1=1×1 6= (SE) Then remaine any vertex x, coul the resulting graph GI = (V, E) Removing VI creates at most deg V connected components; let SEday V vojes ni ist connected follows for ind, hyp. IE, I + IE21 time + IE51 Z (KI-1) t ... + (KS-1) / We add back Dinote  $|E'| = |E_1| + \dots + |E_s| \ge (K_1 + \dots + K_s) - S$  $|\xi| = |\xi'| + s$ # vertes 1E1 = 1E' + degt = K - S + 5 -(K + 1) - 1 $|\mathbf{E}| \geq k$ as desked UC Berkeley EECS 70 - Tarang Srivastava Lecture 2B - Slide 3

#### **Complete Graphs**

A graph G is <u>complete</u> if it contains the maximum number of edges possible. Correction: K is for mathematician Kazimierz Kuratowski Examples:



#### Trees

The following definitions are all equivalent to show that a graph G is a **tree**.

- G is connected and contains no cycles
  - G is connected and has n-1 edges (where n = |V|)
  - G is connected, and the remove of any single edge disconnects G
- G has no cycles, and the addition of any single edge creates a cycle



#### Tree Definitions are Equivalent

Theorem: For a connected graph G it contains no cycles iff it has n-1 edges. Proof:

by induction an => if no cycles, the n-1 edges. Proceed # of whe BARE Case: N=1 has no edges V Ind. Hyp: Assure the daily for all IENEK Ind. Step: Consider a graph with Nul vertices. Dence any orbitrary vertex v. Case 1: G' is dis connered. Appy MD. hyp to ead connered company (Similar proof co before) Case 2: G' is connoced, ten G' has h-1 edges by M. hyp. We ADD back V. V can only be incident on be edge Otherwise gun G' is connested, G mustice Led a cycle. The adding back 1 edge Theres K edges for Kell vertices p Lectu Twos Lecture 2B - Slide 6 UC Berkeley EECS 70 - Tarang Srivastav

#### Tree Definitions are Equivalent (cont.)

Theorem: For a connected graph G it contains no cycles iff it has n-1 edges.

E if n-1 edge, the no cycles Assure for contradiction G is connected and hos n-1 edge but also contains a cycle, Then remaining an edge form the gale In G 2005 not disconnect G. Now G' has n vothers but Gn by n-z edges. Which we proved earlier is not possible? G has no cycles.

#### **Bipartite Graphs**

A graph G is **<u>bipartite</u>** if the vertices can be split in two groups (L or R) and to a djacent the sone colors Watices don't have the sone colors We use of most 2 colors edges only go between groups.

G is bipartite iff G is two colorable Examples:



K3,3



#### Planar Graphs

A graph is called **<u>planar</u>** if it can be drawn in the plane without any edges crossing.

Examples:





K<sub>33</sub>

Non planor

#### Euler's Formula: v - e + f = 2

Theorem: If G is a connected planar graph, then v - e + f = 2. Proof: Proceed by indiction on e e=0. f=1. V=1 = 1-0+1=2 Base Core: Ind. Hyp: Assure claim holds for e=k translep: Consider on arbitrony graph of with e=k+1 Case 1: G D a tree. Then f=1, v= e+1 (e+1)-e+1=2 V Case 2: 6 is not a tree, then of has a cyce. Remare on edge form the cycle. G is still convoded and plans. This appy ind. hyp. v - e' + f' = 2Addry back to edge creats 1 for  $_{g Srivastava} V - (e'_{\pm 1}) + (f'_{\pm 1}) = V - e_{\pm}f = Z - e_{\pm}f$ 

#### Euler's Formula Corollary: $e \le 3v - 6$

Corollary: For a connected planar graph with  $v \ge 3$ , we have  $e \le 3v - 6$ Proof:

Define a side to be the "sker of an edge twads a face. face ( has y sides face z has y sides Let Si'- numer of sides for its face  $\sum_{i=1}^{n} s_i = 2e$ Each face has 3 sides at loost  $2e = \sum_{i=1}^{r} s_i \ge \sum_{i=1}^{r} s_i \ge 2e \ge 3f$   $2e \ge s(2e-v) \Longrightarrow e \le 3x-6$ 2e Z 6+3e-3y Lecture 2B - Slide 11

K<sub>5</sub> is non-planar

Proof:

Assure for contradiction Ks is planor  

$$V = 5$$
 es  $3x - 6 = 5 \cdot 4 \cdot \frac{1}{2} = 10$   
 $\left(\frac{2}{2}\right)^2 = 10$   
 $16 \notin q$ 

$$e \leq 3x - 6$$

$$10 \leq 3(s) - 6$$

$$16 \neq 9 \qquad D = 0$$

K <sub>a</sub> is non-planar	this	15 D1	te
3,3		t	tW
Proof:			

Assure for contradictor V = 6  $Q \leq 3x - 6$   $Q \leq 3(6) - 6$   $Q \leq 3(6) - 6$   $Q \leq 12$ 0.27 Hint! Can you got a stronger hogistis they CZ 3V-6 because ksz is biprtite

#### Kuratowski's Theorem



#### Hypercubes

The vertex set of a *n*-dimensional **<u>hypercube</u>** G=(V, E) is given by  $V = \{0, 1\}^n$  i.e. the vertices are *n*-bit strings.



#### Number of Edges in Hypercubes

Lemma: The total number of edges in an *n*-dimensional hypercube is  $n2^{n-1}$ Proof:

$$\sum_{y}^{z} \partial e_{g}(y) = \sum_{y}^{z} h = 2^{h} \cdot h = 2 |E|$$
$$|E| = \frac{2^{h} \cdot h}{2}$$
$$= -h2^{n-1}$$

#### Review

## Lecture 2C: Modular Arithmetic I

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

#### Announcements!

- Read the Weekly Post
- We have caught people for Academic Misconduct on HW1
- **HW 2** and **Vitamin 2** have been released, due **Thu** (grace period Fri)
- No lecture, OH, or Discussions on July 4th

#### Hopefully Review (Divides)

Def: We say b|a if there exists some integer k such that a = bk

b, a E Z	Example: 17,51
A = K E Z	17/51 ?
	K=3
60	51 = 17.3
$0 = b \cdot 0$	a b k

### Hopefully Review (GCD)

Def: The greatest common divisor (GCD) of integers a and b is the greatest integer d such that d|a and d|b

m = mar(a, b)Examples: O(n) gcd(4, 2) = **2 2 2 2** for i Mrayelms: 214 ila? 4(12 4/16 gcd(12, 16) = 4167 gcd(51,17) = 17 17 For 17 is prine, gcd will be 17 or 1 gcd(15, 16) = 17 Share no divISars, 15 ad 16 are coprime gcd (7, )6) = 1 (-> since 7 is prime, gcd will be 7 or 1

#### Hopefully Review (Division Algorithm)

Thm: For any two integers *a*, *b*. There are unique integers *q*, *r* with  $0 \le r \le 0$  such that a = qb + r

4th Grade Stuff



$$17 \div 5 = 3 \text{ remainder } 2$$

$$17 \uparrow 5 = 3 \text{ remainder } 2$$

$$17 \uparrow 1 \uparrow 1$$

$$a \downarrow b \uparrow 2 \qquad V$$

alb iff r=0 in the division aboristin



#### Mod as an Operation

You can think of mod as just an operation (i.e. what you're used to in 61A)  $x \pmod{y}$ Example:

#### Euclid's (GCD) Algorithm

Fact: Thm: Let  $x \ge y \ge 0$ . Then,  $gcd(x, y) = gcd(y, x \pmod{y})$ 

Consider example x = 10, y = 32

O(by n) N

$$gcd(10, 32) = gcd(32, 10 (mod 32))$$
  
= gcd(32, 10)  
= gcd(10, 32 mod 10) = gcd(10, 2)  
= gcd(2, 10 mod 2) = gcd(2,0) = 2 = 0  
gcd(2,0)

#### Mod as an *Operation* (cont.) Math 113 114 You can think of mod as just an operation (i.e. what you're used to in 61A) $x \pmod{y}$ Mod M Example: m > 013 mod 5 z 3 - equivalence mod Z = 17 mod lo 17 ₹0,1,..., m-13 -17 mod 10 E -72 13 Хr both 0,...,9 42 ÷< (mod 10) -17 = 10:4 + 3

Lecture 2C - Slide 9

#### Mod as a Clock

(VNOO 1Z)

You can think of adding in mod as just going around a clock.

We will say all the numbers at the same step of the clock are part of the same **<u>equivalence class</u>**. (ex: ..., -11, 1, 13, 25, 37, ...) + 0 2 + 12 = 13 1+ 12+12 = 29 1 3 13 = 25 = ..., (mod 12) How 13-11 = -23 = -35 ( mod 12)



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just a symbol 5 +2 = 5.1 Z's mas **?** Inverses (Modular Division)  $2 \cdot \frac{1}{2} = ($ We can redefine division in regular math, to just being multiplying by inverse. The inverse of *a* is such a number  $a^{1}$  such that  $aa^{-1} = 1$ In (mod *m*) the inverse of *a* only exists if *a* and *m* are **<u>coprime</u>** (i.e. gcd(a, m) = 1). St Grade 5 = 2 (mod 17) 35 = 17.2 + (1)5-2  $5 \cdot 5' = 1$ (mos 17) 5.3  $5.7 \equiv 35 \equiv ((mod)(7))$ Example Solvity on Equation Algebra 1 5x+3 37 (mod 17) 5 x 3 2 7 - 3 5 x 2 4 5 (mod (7) 5(1)+3= 58 = 7 (mod 17) × = 4.7 = 28 ≤ (1) (ma) (7)

Sometimes we say **<u>relatively prime</u>** same thing as coprime.

inderse is under

#### Let's Bridge Algebraic Form with Modular Form

a = b (mod m) iff there exists some integer q such that 
$$a = mq + b$$
  
(GCD Algorithm): Let  $x \ge y \ge 0$ . Then,  $gcd(x, y) = gcd(y, x \pmod{y})$   
QCD Algorithm): Let  $x \ge y \ge 0$ . Then,  $gcd(x, y) = gcd(y, x \pmod{y})$   
Proof. Suppose d is an arbitrary discon of both  $a \equiv b$  (mod m)  
 $x = ad y (d | x = ad d | y)$ .  
By the discon algorithm, we can write  $x = 2y + r$ .  
Notice,  $x \equiv r \pmod{y}$ . Since, dly we how dley.  
Then from lecture 1B, we mow  $d | x - 2y$ .  $x - 2y = r$   
So,  $d | r$ . Thus,  $x_{1y}$  and  $x \pmod{y}$  since the same divisors  
Since was arbitrary. Namely they have the same  $GCD$ .  
Also show that divises of  $y$  and  $r$  are divisors of  $x$  and  $y$ .
Extended Euclid's Algorithm: How to find inverses gca(11,1) = ax+6y gcd(n, y) = 1 1=axtby Find the **inverse of x in (mod y)** by finding a, b such that 1 = ax + byExample 2: x = 7, y = 32 7' (mod 32) SOLUMY for a, b gives Alt. method from in the notes: you the Nutros? Ezoal find a, 5 ന 1=axtby (mody) 7(1) + 32(0) = 77(0) + 32(1) = 321=0x +to I invesse of x 7(5) + 32(6) = 35(= are they (mod x) 6 .7(5)+ 32(-1) = 3 4 (9 y = 6 (max) 17(55) + 32(-11)= 33 7(55) + 32(-12)= (mo) 32) 32 (roo) 744 (mad 7) 55 E 23 (mod 32) 7.232 16 [ = 1 (ma) 32) UC Berkeley EECS 70 - Tarang Srivastava

### **Repeated Squaring**

How to find  $x^y \pmod{m}$  for large exponents. Example:  $4^{42} \pmod{7}$ 

(mod 7 ) 4° = 1 4 2 4  $4^2 = (4')^2 = 4^2 = 16 = 2$  $(4^2)^2 = 4^7 = 2^2 = 4$  $(4)^{9} = 16 = 2$ (Y)' = Y14152 - 7

xa = ze'a (moom)

$$4^{42} = 4^{32} \cdot 4^{8} \cdot 4^{2}$$
  
 $= 2 \cdot 2 \cdot 2$   
 $= 8 \quad (mad 7)$   
 $= 1$ 

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#### Review

# Lecture 2D: Modular Arithmetic II

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

#### Announcements!

- Read the Weekly Post
- HW 2 and Vitamin 2 have been released, due Today (grace period Fri)
- No lecture, OH, or Discussions on July 4th

# **Repeated Squaring**

How to find  $x^y \pmod{m}$  for large exponents. Example:  $4^{42} \pmod{7}$ 

40 5 4' 4 = 16 = 2 Чч  $(4^2)^2 = (2)^2 = 4$ 3  $y^{8} \equiv (y^{4})^{2} \equiv (y^{2})^{2} \equiv (y^{2}$ 

 $y^{6} \equiv (y^{p})^{2} \equiv 2^{2} \equiv Y$ 

 $4^{32} \equiv (4^{6})^{2} \equiv (4)^{2} \equiv 16 \equiv 2$ 

$$4^{42} \equiv 4^{32} \cdot 4^{9} \cdot 4^{2} \equiv 4^{32+8+2}$$
  
 $\equiv 2 \cdot 2 \cdot 2 \equiv 8 \equiv ( (mod 7))$ 

#### Recap

- a= bg + V Division Algorithm هربه
- Greatest Common Divisor (GCD) Definition
- GCD Algorithm: Application and Proof gcd(2, y) = gcd(1) × mod y)
- Every number has a unique prime factorization  $\Theta_{c}$ ,  $S_{2} = 13.2.2$
- Mod as a Space: Defined Addition, Subtraction, Multiplication and Division
- Definition of Coprime  $gcd(r_{y}) = 1$
- Definition of Inverse and division via multiplying inverse
- Extended Euclid's Algorithm to find inverse
- **Repeated Squaring**

aretby = gcol(x, y)

axtby = 1

$$f: A \rightarrow B$$

### **Bijections Examples**

g is an inurse of f if g(f(25)=x +x

A *bijection* is a function for which every  $b \in B$  has a unique *pre-image*  $a \in A$  such that f(a) = b. Note that this consists of two conditions:

- 1. *f* is *onto*: every  $b \in B$  has a pre-image  $a \in A$ .
- 2. f is one-to-one: for all  $a, a' \in A$ , if f(a) = f(a') then a = a'.



$f(m) = 2e^2$	f: R>R
	neither
$f(x) = x^2$	f: 1R ⇒ Rt U 203
	Surjectre
f(w) = 2 2	$f: \mathcal{N} \Rightarrow \mathcal{W}$
	injectre
f(m) = 2x	f: R=R
ihuse the	bijetie
f(2)= 2e3-2e	f:R>R
p(0) 26 g	Surjectre
イルリニログ	

# A Useful Lemma

$$f(x) = ax (mod m)$$
 a ad m are coprime  
 $f: \{20, 1, \dots, 101-13\} \rightarrow \{20, 1, \dots, 101-13\}$ 

Claim:  $f(x) = ax \pmod{m}$  where *a* and *m* are coprime is a bijection. Restated: The sequence 1*a*, 2*a*, 3*a*, ..., (*m*-1)*a* is a reordering of the numbers {1, 2, ..., *m*-1}. Proof:

Assume for contradiction that f is not a bijection. In the second sector is the second sector in the second sector. In the second sector is the second sector.

#### Existence of an Inverse

Goal:

Jx & main

are 31 medes

Thm: if *a* and *m* are coprime, then *a* has an inverse in *mod m* Proof:

Consider the Sequence from before 19,20,..., (m-1)g we know this sequence is a bijection to §1,2,..., m-13 if a and m are coprime. I some you intre sequence that maps to) Thus, ya=1 (modin), y is the the house of a (modim).

### A Necessary Lemma

the existence of an inverse Lemma: and *m* being coprime is a <u>necessary</u> condition for  $f(x) = ax \pmod{m}$  to be a bijection. Proof: if gcala, m) > 1 then a 2205 N/2 have an invore (mod us) Prove diverty. Let d= gcd (a, m) and a has an inuse (mod m) ay  $\leq 1 \pmod{m} \Rightarrow ay = mk + 1$   $K \in \mathbb{Z}$ . Since,  $d \mid \alpha \mid ad \mid d \mid m$ induse we also know  $d \mid ay \mid ad \mid d \mid mk \Rightarrow d \mid ay - mk$  Lec. B ay-mk=1 , This d 1, So, d must be equal to 1. This, a and in one coprime.

#### Inverse is Unique (From Discussion 2C Q3E)

Suppose  $x, x' \in \mathbb{Z}$  are both inverses of *a* modulo *m*. Is it possible that  $x \not\equiv x' \pmod{m}$ ?

Suppose x as sol are	Mullises of a mod by
Then, $ax = ax' = 1$	(mod is)
Rax Exax' NXX E Max!	Silve xGZI
x = xl	

# What makes prime numbers so special?

- 1. Building blocks of all numbers  $\leftarrow$  all numbers have a prime factorization
- 2. Given a prime *p* any number that's not a multiple of *p* is coprime to *p*

i.e. gcd(x, p) = 1 for all x that is not a multiple of p.

Thus, the inverse always exists in modulo *p* 



### Fermat's Little Theorem Examples

Thm: For any prime p and any a in  $\{1, 2, ..., p-1\}$ , we have  $a^{p-1} \equiv 1 \pmod{p}$ . Examples:  $4^6 \pmod{7}$ ,  $4^{42} \pmod{7}$ 

4

7 is prime 4<sup>7-1</sup> = 4<sup>6</sup> = 1 (mod 7)

$$42 \equiv (4^{6})^{7} \qquad by FLT$$
  

$$\Xi = 1^{7} \qquad y^{6} \equiv 1$$
  

$$\Xi = 1^{7} \qquad y^{6} \equiv 1$$

#### Fermat's Little Theorem Proof

Thm: For any prime *p* and any *a* in {1, 2, ..., *p*-1}, we have  $a^{p-1} \equiv 1 \pmod{p}$ . Proof:

 $\begin{array}{rcl} (a, 2a, 3a, \dots, (p-1)a & is & a & readding & ok & 1, 2, 3, \dots, p-1 \\ (a, 2a, 3a, \dots, p-1)a & \equiv & (\cdot 2, 3 \dots, (p-1)) \\ (p-1) & \vdots & \vdots & 1 & 2 & 3 & \dots & (p-1) \\ (p-1) & \vdots & \vdots & 1 & 2 & 3 & \dots & (p-1) \\ (p-1) & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ a & p-1 & \equiv & 1 & \dots & (p-1) \\ a & p-1 & \equiv & 1 & \dots & (p-1) \end{array}$ 

### Chinese Remainder Theorem (CRT) Example

Find a x in mod 30 such that it satisfies the following equations  $x \equiv 1 \pmod{2}$ ,  $x \equiv 2 \pmod{3}$ ,  $x \equiv 3 \pmod{5}$ ひこ(( ideal x= a + b + c( (mod 2) / Q 5  $C \equiv O (mod 2)$ b= 0 (mod 2) ~ C = O (mod 3)b= z (mad 3) / C= 0 (mad 3) / b= 0 (mad 5) / C= 3 (mad 5) /  $\alpha \ge 0 \pmod{5}$ 6 = 0 (mad 5) ~ Guess: a = 3.5 = 15 b= 2.5=10 C= 2:3=6 b= 2.2.5 = 26 C= 2:3:3 = 18 x= 15+20+18 53 mod 30 37 (mo) 30) 23 -2

#### Chinese Remainder Theorem

Chinese Remainder Theorem: Let  $n_1, n_2, ..., n_k$  be positive integers that are coprime to each other. Then, for any sequence of integers  $a_i$  there is a unique integer x between 0 and  $N = \prod_{i=1}^k n_i$  that satisfies the congruences:

$$\begin{cases} x \equiv a_1 \pmod{n_1} \\ \vdots \equiv \vdots \\ x \equiv a_i \pmod{n_i} \\ \vdots \equiv \vdots \\ x \equiv a_k \pmod{n_k} \end{cases}$$

Given 
$$h_1, n_2, ..., n_R$$
 that are coprime to each other.  $N = n_1 \cdot n_2 \cdot \cdot n_R$   
 $\exists a unlyze solution ze \in \{0, 1, ..., N-1\}$  that solvifies all the  
equations:

$$gcd(x, y) = ax + by$$

#### Review

# Lecture 3A: RSA

UC Berkeley EECS 70 Summer 2022 Tarang Srivastava

#### Announcements!

- Read the Weekly Post
- HW 3 and Vitamin 3 have been released, due Thursday (grace period Fri)

THW ( Groves

- HW 3 covers last Wednesday, Thursday and Today's lecture
- Any topic that's out of scope in this lecture will be in Orange.
  - You are not responsible for these topics, they're just here to give context
  - These topics will be covered in CS170 and CS161
- In this lecture, we will use small prime numbers as examples but in implementation we use large prime numbers (256 bits  $\approx 10^{77}$  or more).

## Alice and Bob

Alice and Bob wish to send messages to each other **privately**.

Eve is able to intercept and read the messages.

How can Alice and Bob **encrypt** their messages, so even if Eve intercepts them she cannot understand them (i.e. **decrypt**).



# Using a Codebook

How can Alice and Bob **encrypt** their messages, so even if Eve intercepts them she cannot understand them (i.e. **decrypt**).



"Hoo tes segures" " repectore 4

# Public Key Cryptography



Alice generates a **Public Key** (K), and a corresponding **Private Key** (k). The public key K is known to everyone (including Eve), the private key k is known only to Alice. Alice  $A^{VCC}$   $A^{VCC}$   $A^{VCC}$ 

Alice gerenates

Anyone can encode their message using the public key, and send it to Alice. Only Alice knows the private key, so only she can decrypt the messages sent to her.



#### RSA

Alice does the follow Setting up a Public Key Pick two large primes  $\underline{p}$  and  $\underline{q}$ . Let N = pq

Choose an *e* that is coprime to the product (p-1)(q-1)  $geod(e_1)(q-1) = 1$ 

Compute the private key  $d = e^{-1} \pmod{(p-1)(q-1)}$ .

Announce to the world the public key: K = (N, e) both to make goas messar <u>Bencrypting Messages</u> Let x be your message. E(.) is the encryption function. Send  $E(x) = x^e \pmod{N}$ .

Decrypting Messages

Let y be the encrypted message. D(.) is the decryption function.  $D(y) = y^d \pmod{N}$ . <u>Why does this work?</u>

Decrypting an encrypted message returns original message.  $D(E(x)) = x = (x^e)^{el} \pmod{H}$  $D(E(x)) = D(x^e) = (x^e)^{el} = 1 \pmod{H}$ 

#### Can ppl figue and pro hom M? Summary Questions

Pick two large primes p and q. Let N = pqChoose an e that is coprime to the product (p-1)(q-1)Compute private key  $k = d = e^{-1} \pmod{(p-1)(q-1)}$ . Announce to the world: K = (N, e)Encryption:  $E(x) = x^e \pmod{N}$ . Decryption:  $D(y) = y^d \pmod{N}$ . People Alice, Bube, Eve Alice has a public her (N,e) Bob is seriling a message x

	N	е	p and q	d	Private Key	Public Key	Encryption Function	Decryption Function	x message	у
Who knows	ewron	evenie	Alice	Alice	Alice	Everyone	Evezor	Alice	Bob (After Alice)	Euryone
Definition	N= P.2	rondowly choose e coprive to (p-1)(y-1)	Choose 2 large prives	d= e <sup>-1</sup> mod ((p-1) (2-1))	R= (A,N)	K∍(n°6)	E6~) = X <sup>e</sup> (mad N)	D(1)= yd (m63 N)	Bob just has a message	y= E(~)

RSA

#### RSA Example

#### Alice Setting Up Public Key

- P=7, 9=11 N= 7.11= 77
- e coprime to (7-1)(1-1) = 60

Public key 
$$K = (N, e) = (77, 7)$$

Pick two large primes p and q. Let N = pqChoose an e that is coprime to the product (p-1)(q-1)Compute private key  $k = d = e^{-1} \pmod{(p-1)(q-1)}$ . Announce to the world: K = (N, e)Encryption:  $E(x) = x^e \pmod{N}$ . Decryption:  $D(y) = y^d \pmod{N}$ .

7(0) + 60(1) = 60 7(1) + 60(0) = 7 7(-8) + 60(1) = 4 7(-8) + 66(-1) = 3 7(-17) + 60(2) = 1 $7^{T} = -17 = 43 \pmod{60}$ 



Bob Encrypting Message x = 2 : 2 messaye  $E(x) = E(2) = 2^{7} \mod 77$   $2^{7} = 128 = 51 \mod 77$ y = 51

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# Why does encryption/decryption work?

Thm: For every x in  $\{0, 1, ..., N-1\}$ ,  $(x^e)^d \equiv x \pmod{N}$ . (i.e. D(E(x)) = x) FL1 Proof:

Notice that de e' mod (p-1)(q-1). So, ea= 1 mod (p-1)(q-1) ed = k(p-1)(q-1) + 1,  $k \in \mathbb{Z}$ . D(E(m)) = xWe want to show that red = x mad N > red - x = 0 mad N x (p-1)(q-1)+1 - x = 0 mod N. Since, p and q are prime and N= P.g. If we show that x ((P-1)(2-1)+1 - x = 0 (mod P) and  $\equiv 0 \pmod{q}$  then it is  $\equiv 0 \pmod{N}$ . We wish to show  $xe^{k(p+1)(q-1)+1} - x \equiv 0 \pmod{p}$ : Cose 1: x is a mottiple of p, the p divides solve the terms Cose 2: x is not a multiple, so x E E 1,2..., P-15 mad p So by FLT  $(x^{p-1})^{k(q-1)}x - x = O(ma) B$ (×(q-1)) × - × = ×-× = 0 (med p) You can apply the identical argument to g. Thus, we are done.

QE 21,2,.., P-13

MAIN SCIDE

apriz 1 mod p

By CRT this house of x exists

## Why can't Eve reconstruct the Private Key?

Idea:  $d = e^{-1} \pmod{(p-1)(q-1)}$ , but Eve knows *e* so why can't she just find the inverse?

Eve doesn't know (p-1) or (q-1) or ean (p-1).(q-1)

Eve. only knows N

# Why can't Eve then figure out *p* and *q*?

Eve knows N so why can't she figure out *p* and *q* using that?

We showed that every number has a prime factorization.

That is, given a natural number n there exist a unique set of primes such that n is equal to their product.

NP HARD (CSIDO)

Finding this unique set of primes is **hard**.

What does it mean for a problem to be hard? In this class, we will say that if the best solution is as good as guess-and-check it is hard. To find the prime factorization you would have to try every factor for that number. المحمد ال

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# Why can't Eve just take the log?

Eve knows the public key (N, e). Eve then encrypts some message  $y = x^e \pmod{N}$ . Then, the decryption is  $x = y^d \pmod{N}$ 

So, why can't Eve just do  $log_y$  on both sides to **leak** *d* the private key?

This is called the discrete-log problem and it is **hard**. There is no known efficient solution for this problem.

Examples:

#### How easy is it to find large primes?

**Theorem 7.3**: [Prime Number Theorem] Let  $\pi(n)$  denote the number of primes that are less than or equal to *n*. Then for all  $n \ge 17$ , we have  $\pi(n) \ge \frac{n}{\ln n}$ . (And in fact,  $\lim_{n\to\infty} \frac{\pi(n)}{n/\ln n} = 1$ .)

If we want a 512-bit prime number

Theorem says there is roughly 1 prime number every 355 numbers.

For 1024-bit numbers there's a prime every 710.

Just try random numbers and you will eventually find a prime number

You can efficiently check if a number is prime using the Miller-Rabin test. (25170)

# Can Even find a match using the encryption function?

If Bob encrypts some message  $y = x^e \pmod{N}$ . Then, could Eve just plug in x' into the encryption function to find a match?

No! For 256-bit prime numbers that is  $2^{256}$  it would take you 37 times the age of the universe to arrive at a guess for a x' = x.

### In Practice Sending Same Message Twice

Notice that since all the numbers are fixed, if you send the same message twice it will be encrypted the same way.

In practice, usually append a counter to the message so each message is unique.

x = "1" N2+"2"

### You use some derivation of RSA every day


#### You use some derivation of RSA every day

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Search or jump to	7 Pull requests Issues Marketplace Explore	\$ + - €
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We designed iMessage to use end-to-end encryption, so there's no way for Apple to decrypt the content of your conversations when they are in transit between devices. Attachments you send over iMessage (such as photos or videos) are encrypted so that no one but the sender and receiver(s) can access them. These encrypted attachments may be uploaded to Apple. To

#### A little story to end...

In 1977, Rivest, Shamir and Adleman publish the RSA algorithm you learned today.

Later that year, the British Intelligence Agency (GCHQ) declassify that they had developed the exact algorithm secretly in 1973.

Why do all this?

- Your company will ask you to make sure their data is secure
- You will want to make sure that your data is secure
- Most importantly, you have a **moral** responsibility to do so

#### Review

# Lecture 3B: Polynomials, Secret Sharing

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#### Announcements!

- Read the Weekly Post
- HW 3 and Vitamin 3 have been released, due Thursday (grace period Fri)
- HW 3 covers last Wednesday, Thursday and Yesterday's lecture.
- In this lecture, we will use small prime numbers as examples but in implementation we use large prime numbers (256 bits  $\approx 10^{77}$  or more).

#### Finite Fields

Recall, that we talked about mod as a space.

When operating in a mod *p* where *p* is prime, we are working in a **finite field**.

A finite field is just a space of numbers, where we can define addition, subtraction, multiplication and division for all numbers in that space.

#### math 113/114

We will call this finite field a "Galois Field," denoted GF(p)

mos p GFCP)

### Polynomials in GF(*p*)

A **polynomial** in GF(*p*)

$$f(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_2 x^2 + a_1 x + a_0 \pmod{p}$$

is specified by **coefficients**  $a_d$ , ...,  $a_0$ f(x) **contains** point (a, b) if b = f(a)

Polynomials over reals:  $a_d$ , ...,  $a_0 \in \Re$ , use  $x \in \Re$ Polynomials in GF(*p*) have  $a_d$ , ...,  $a_0 \in \{0, ..., p-1\}$ , use  $x \in \{0, ..., p-1\}$ 

Example: 
$$f(x) = 2x^3 - 2x = 2x^3 + 0x^2 + (-2)x + 0$$
  
 $a_3 = 2$   
 $a_2 = 0$   
 $a_1 = -2$   
 $a_6 = 0$   
 $= 2 \cdot 8 - 4$   
 $= 2$   
 $(2_1 \ge 2)^2 \times 8$ 

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(JF(S)

### Polynomials in *GF*(*p*)

A polynomial in GF(p) degree  $x^{e} = 1$   $f(x) = a_d x^{d+} a_{d-1} x^{d-1} + ... + a_2 x^2 + a_1 x + a_0 \pmod{p}$ is specified by coefficients  $a_d, ..., a_0$ f(x) contains point (a, b) if b = f(a)

The **degree** of a polynomial is the highest exponent in the polynomial

We say that *a* is a **root** (or **zero**) of a polynomial if f(a) = 0Example:  $f(x) = 2x^{3/2} - 2x$ 

 $2x^{3} - 2x$ 







### d+1 points $\Rightarrow$ unique degree d polynomial

We say a **point** is a *x*, *y* pair where y = f(x)

Property 2:

Given d+1 pairs:  $(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$  with all the  $x_i$  distinct, there is a unique polynomial f(x) of degree (at most) *d* such that  $f(x_i) = y_i$  for  $1 \le i \le d+1$ 

There is a unique degree d polynomial that goes through a given set of d+1 points  $\langle Key \rangle$ 

Example:

Given 3 points -> Degree Z polynomia(

points

(0,0)

(1,2)

E-1,0)

 $> x^2 + x$ 





## => y= m z + the y-inknoept Implication of Properties on a Line

Suppose we have some linear polynomial  $f(x) = a_1 x + a_0$ 

Property 1 says that if the line isn't just f(x) = 0 (x-axis) then it has at most 1 root. Property 2 says two points define a line.

How to find a line that goes through a given two points: Example: (1, 2) and (3, 4) f(x)= 1.x+ 1

mx +6 ノニ  $m = \frac{y-2}{3-i} = \frac{2}{2} = i$ y-htoop 2 = (1)(1)+6 b = 1





Slope

#### Polynomial Equivalence

We state that two polynomials f and g are equivalent if for all x in GF(p), f(x) = g(x)

You can also show two polynomials are equivalent if they have the exact same coefficients.

Examples in GF(7):  $f_1(x) = x + 1$  $f_{1}(x) = 8x + 1 \qquad \text{g} \equiv 1 \pmod{7}$   $f_{2}(x) = 8x + 1 \qquad \text{g} \equiv 1 \pmod{7}$   $f_{3}(x) = x + 8 \qquad \text{g} \equiv 1 \pmod{7}$   $f_{4}(x) = x^{7} + 1$  by FLT  $x^{6} \cdot x \pm 1 \qquad f_{7}(\omega) = 1$   $f_{7}(\omega) = 1$   $f_{7}(\omega) = 1$ 

$$f(x) = 2x^2 + 2$$
  
 $f(x) = 2x^2 + 2$ 

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Lecture 3B - Slide 9

#### Polynomials from Points via Interpolation

Find the degree two polynomial in GF(5) that contains (1, 2);  $(2, \frac{4}{4})$ ; (3, 0)

$$p(u) = y_1 \cdot \Delta_1(u) + y_2 \cdot \Delta_2 u + y_3 \cdot \Delta_3(u)$$

$$p(u) = \begin{cases} 0 & if & x \neq i \\ 1 & if & x = i \end{cases}$$

$$p(u) = \begin{cases} 0 & if & x \neq i \\ 1 & if & x = i \end{cases}$$

$$p(u) = \begin{cases} 0 & if & x \neq i \\ 1 & if & x = i \end{cases}$$

$$\begin{split} &\Delta_{1}(x) = \frac{(x-2)(x-3)}{(1-2)(1-3)} = 3(x-2)(x-3) = 3x^{2} - 15x+18=3x^{4}+3\\ &\Delta_{2}(x) = \frac{(x-1)(x-3)}{(2-1)(2-3)} = 4(x-1)(x-3) = 4x^{2}+4x+2\\ &\Delta_{3}(x) = \frac{(x-1)(x-2)}{(3-1)(3-2)} = 3(x-1)(x-2) = 3x^{2}-9x+6=3x^{2}+x+1\\ &\rho(x) = 2(3x^{2}+3) + 4(4x^{2}+4x+2) + 0(3x^{2}+x+1) \end{split}$$

 $\simeq 2x^2 + x + y$ 

 $2\pi^{2+}\pi+y$   $1 \quad 2(3^{2}+1)+4 \leq 7 \leq 2 \checkmark$   $2 \quad 2(2)^{2}+2+4 \leq 4 \checkmark$   $3 \quad 2(3)^{2}+3+4 \leq 0 \checkmark$ 

#### Polynomials from Points via Gaussian Elimination

Find the degree two polynomial in GF(5) that contains (1, 2); (2, 4); (3, 0)

 $f(n) = a_2 x^2 + a_1 x + a_n$  $2 = a_2 + a_1 + a_0$  $\frac{inpult}{1} = a_2(1)^2 + a_1(1) + a_0$   $\frac{2}{3} = a_2(2)^2 + a_1(2) + a_0$   $\frac{2}{3} = a_2(3)^2 + a_1(3) + a_0$  $\gamma = 4a_2 + 2a_1 + a_0$  $0 = 9a_2 + 3a_1 + a_0$ Why you need del points for degree d del unknown coefficients

#### Proving Property 2

Property 2: Given d+1 pairs:  $(x_1, y_1), ..., (x_{d+1}, y_{d+1})$  with all the  $x_i$  distinct, there is a unique polynomial f(x) of degree (at most) d such that  $f(x_i) = y_i$  for  $1 \le i \le d+1$ "d+1 points, define a unique degree d polynomial"

- 1. We showed the existence of a polynomial via interpolation  $\checkmark$
- 2. We need to show uniqueness

#### Proof for uniqueness:

Assume for contradiction that given some d+1 paths two exist two degree d polynomials that contain the same d+1 points, call then p(x) and q(x). Since, p(x) + q(x) p(x)-q(x) + 0. Notice that p(x)-q(x) is then a degree d polynomial at most. But p(x)-q(x) = 0 for the d+1 points that pad q share. This is a contradiction since by Property | p(x)-q(x) can have d roots at most. K pers-questo meas its 1st aluonts 2000.

### Long Division

It is possible to divide polynomials. That is dividing p(x) by q(x) results in p(x) = q'(x) + r(x)

Example: 
$$p(x) = x^{3}+x^{2}-1$$
 and  $q(x) = x - 1$   
 $x^{2} + 2x + 2$   
 $x - 1 \int \frac{x^{2} + 2x + 2}{(x^{3} - x^{2}) \int \frac{1}{y}}$   
 $(x + 2x^{2} + 0 \cdot x - 1)$   
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 $(x + 2x^{2} - 2x^{2} - 2x + 0 \cdot x$ 

#### Proving Property 1

Property 1: A non-zero polynomial of degree *d* has at most *d* roots We will prove this by proving these two other claims.

Claim 1: If *a* is a root of a polynomial p(x) with degree  $d \ge 1$ , then p(x) = (x-a)q(x) for a polynomial q(x) with degree d - 1

Claim 2: A polynomial p(x) of degree d with distinct roots  $a_1, ..., a_d$  can be written as  $p(x) = c(x-a_1)...(x-a_d)$  where c is just a number.

#### Proving Property 1 with Claim 1

Property 1: A non-zero polynomial of degree *d* has at most *d* roots Claim 1: If *a* is a root of a polynomial p(x) with degree  $d \ge 1$ , then p(x) = (x-a)q(x) for a polynomial q(x)with degree d - 1 $\sum_{k=1}^{n} \sum_{k=1}^{n} \sum_{k=1}^{$ 

$$p(n) = (n - a)q(x) + r(x)$$
  
if a is a root  
$$p(a) = 0 = (a - a)q(a) + r(a)$$

 $\Lambda(\alpha) = 0$ 

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#### Proving Property 1 with Claim 2

Property 1: A non-zero polynomial of degree d has at most d roots Claim 2: A polynomial p(x) of degree d with distinct roots  $a_1, ..., a_d$  can be written as  $p(x) = c(x-a_1)...(x-a_d)$  where c is just a number.  $y^2 - 2x + 1 = (x-1)(x-1)$ By induction on degree I.d. Step:

#### Secret Sharing

There is a code that can be used to launch nuclear weapons. We don't want this code to be accessed unless *k* of the total *n* military generals agree.

How do we solve this?

## Secret Sharing (cont.)

There is a secret code that can be used to launch nuclear weapons. We don't want this code to be accessed unless *k* of the total *n* military generals agree.

How do we solve this?

- 1. Construct a degree k-1 polynomial. Call it p(x).
- 2. Encode the secret code as p(0) = "secret code"
- 3. Give each general a point that p(x) contains.
  - a. i.e. General #1 gets (1, p(1)). General #2 gets (2, p(2)). So on...
- 4. When any *k* general agree. They can share their points and they will have *k* points to reconstruct a degree *k*-1 polynomial. Then, they just plug in *p*(0) to find the secret.

#### Example of Secret Sharing

Tarang wants to set up a system that if any 3 of Michael, Jingjia, Nikki, Christine, Jet, Colby or Korinna agree then the midterm solutions will be released immediately. Suppose the secret code to the solutions is "6".

What degree polynomial does Tarang need to construct? How many points do we need to generate? 7 (not including Secret)  $p(x) = x^2 + 2x + 6$  p(0) = 66F(7)  $Michael = (1, p(1)) = (1, 1^2 + 2(1) + 6) =$ (1,2) Jujia = (2, pa>) (2,0) Nikk: = (3, p(3)) (3,0) Christme = (4, pC4)) (Y,Z)

### Example of Secret Sharing (cont.)

GF(7)

Suppose Jingjia, Nikki and Christine agree to release the solutions before the midterm. How would they do it?

Jugia (2,0) Christhe (4,2) Nikki (3,0)

$$p(m) = \Phi_{2} \cdot 0^{-1} + \Phi_{4} \cdot 2^{-1} + \Phi_{5} \cdot 0^{-1}$$
  

$$\Delta_{4} = \frac{(x - 2)(x - 3)}{(4 - 2)(4 - 3)}$$
  

$$\Delta_{7} = 4(x - 2)(x - 3)$$
  

$$p(x) = 2 \cdot 4(x - 2)(x - 3)$$
  

$$= x^{2} + 2x + 6$$
  

$$p(0) = 6 \qquad (1)$$

#### Counting Polynomials

Assume for all these questions we're working in *GF*(*p*)

How many unique degree at most *k* polynomials are there?

How many exactly degree *k* polynomials are there?

If we wish to find a degree 5 polynomial and we know only 3 points how many options do we have for the polynomials that currently go through our 3 points?

#### Review

## Lecture 3C: Error Correction

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#### Announcements!

- Read the Weekly Post
- HW 3 and Vitamin 3 have been released, due Today (grace period Fri)
- Tarang's Last Lecture, Michael will begin starting next week
- Midterm is 7/15 (6-8p)
- Midterm Scope
  - Notes: 1-11
  - HW: 1-4
  - Lectures: 1A-4B
  - Discussions: 1A-4B
  - Topics: Up to and including countability. (Computability will not be on the midterm)
- Midterm format will be different from previous semesters. More proofs.

#### Review

Property 1: A non-zero polynomial of degree d has at most d roots Property 2: Any d+1 points define a unique degree d polynomial J make idea Secret shart of

Claim 2: A polynomial of degree *d* with roots  $a_1, ..., a_k$  can be written as  $p(x) = c(x-a_1)...(x-a_k)$ .

From Discussion 3B:

if f and g are degree x and degree y then

- f + g is at most degree max(x, y)
- $f \cdot g$  is at most degree x + y
- f / g is at most degree x y

 $\mathcal{X}^2 - 2\mathcal{X} + |$  $(\mathcal{X} - 1)(\mathcal{X} - 1)$ 

#### Review (cont.)

Secret Sharing:

Problem: We need any *k* out of *n* people to agree to unlock some code. Solution:

- 1. Create a degree k-1 polynomial p(x)
- 2. Encode the secret in the polynomial (p(0) = "secret").
- 3. Give a point that the polynomial contains to each person (generate *n* points)
- 4. Any *k* points can be used to reconstruct the degree k-1 polynomial p(x)

#### N7U

#### **Review of Gaussian Elimination**

Why do d+1 points define a degree d polynomial uniquely?

A degree d polynomial has d + 1 coefficients: d + 1 Coe fti cients

$$f(x) = a_d x^d + a_{d-1}^{\dagger} x^{d-1} + \dots + a_2^{\dagger} x^2 + a_1^{\dagger} x + a_0^{\dagger} \pmod{p}$$

So, we need d + 1 equations to solve for d + 1 unknowns. We get d + 1 equations by plugging in the d + 1 points.

#### **Erasure Errors**

Send some message across an **unreliable** channel.

The channel randomly **drops** *k* packets.



How can we **recover** our original message? Polynomials!

We want to encode our message into a polynomial, and then generate k extra packets. Then with any n received packets we can reconstruct the polynomial and get the original message.



#### Bob sends message with erasure protection

INDE Bob wants to send the message "3150" to Alice. 3 Value  $\widehat{m_1}$   $\widehat{m_2}$   $\widehat{m_3}$ Bob knows that at most 2 packets will drop when sending the message to Alice. n := message length (4)k := maximum erasures (2) Message "3 1 5 0" become points "(1, 3)" "(2, 1)" "(3, 5)" "(4, 0)" Find a degree 3 polynomial that goes through these points in GF(7)Dinterpolation 2) Gaussia Elimiteton  $p(x) = ax^3 + bx^2 + cx + d$  $p(2) = x^3 + 4x^2 + 5$ at btc+d = z a=1  $3 = A(1)^{3} + b(1)^{2} + c(1) + A$ a + 46+2c+d = 6=4  $= a(z)^{3} + b(z)^{2} + c(z) + d$ 6a + 26 + 3c+d = 5 620 d=5 A + 26+ 4cta =0  $P(s) = 5^{3} + 4(s)^{2} + 5 = 6$  (5.6)  $P(6) = 6^{3} + 4(6)^{2} + s = 1$  (6.4) (5,6) What are the extra points Bob generates?

Bob Sords

3150

6

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#### Alice receives message with erasure errors



Alice receives the points (1, 3); (3, 5); (4, 0); (5, 6). How can Alice reconstruct the polynomial?

 $p(n) = ax^3 + bx^2 + cx + d$ 

 $3 = a \neq b \neq c \neq d$   $s = 6a + 2b + 3c \neq d$   $0 = a + 2b + 4c \neq d$  b = 4 b = 4 c = 0  $b = 6a + 4b + 5c \neq d$  d = 5 3150 Mut if you drop 1055 + Han k packets?

#### **General Errors**

Send some message across a **noisy** channel. The channel randomly changes (**corrupts**) *k* packets

0 | 6

How can we **recover** our original message?

This is much harder that Erasure Errors because...

- 1. locate where the error occurs
- 2. recover the correct value

Erasure Errors: Send n + k packets to protect against k erasures General Errors: Send n + 2k packets to protect against k **corruptions**.

(m) = (x-1) et index (

### Solution: Berlekamp-Welch

Message:  $m_1, ..., m_n$  (length = n)

Sender:

- Form degree *n*-1 polynomial p(x) where  $p(i) = m_i$ Send p(1), ..., p(n + 2k)1.
- 2. Send p(1), ..., p(n + 2k)

Receiver:

- Receive  $r_1, ..., r_{n+2k}$  Converted 1.
- Solve n + 2k equations,  $q(i) = e(i) r_i$  to find q(x) = e(x)p(x) and e(x)2.
- 3. Compute p(x) = q(x)/e(x)
- Compute p(1), ..., p(n) to get original message 4.

Here  $r_i$  are the received points possibly with errors.

p(x) is the original polynomial the sender used, receiver doesn't know yet

e(x) is an error locator polynomial.  $e(x) = (x-e_1)...(x-e_k)$  where  $e_i$  is the index where the error occurs

- e(x) = 0 when you plug in a x value where error occurs. Receiver doesn't know e(x) yet.
- q(x) = e(x)p(x). So, we find q(x) and e(x) to get p(x).

#### $qcis = e(isp(i) = e(isr_1)$ Berlekamp-Welch (cont.) 9 (2)= e(-)p(2)= e(2) (2) **Receiver:** Receive $r_1, ..., r_{n+2k}$ 1. Solve n + 2k equations, $q(i) = e(i)p(i) = e(i)r_i$ to find q(x) = e(x)p(x) and 2. e(x) is error locator polynomial. e(i) = 0 when there is an error in index *i* 2 (1+24)= E(1+24) P(1+24) = e(1+24) (1+24) 3. Compute p(x) = q(x)/e(x)Compute p(1), ..., p(n) to get original message ceffred willer $e(x) = (x - e_1) \cdot (x - e_k)$ 4. Cose 1: p(i) = ri $\partial e_q \quad p(x) = N - 1$ $\partial e_q \quad e(x) = K$ elispis = elisri $q(x) = p(x) \cdot e(x)$ Case 2: p(i) = Y: elispis = elis roefficients What is the degree of q(x)? <u> $\Lambda + \kappa - l$ </u> How many unknowns? <u> $h + \kappa$ </u> P(i)=0 What is the degree of e(x)? \_K\_\_\_\_ How many unknowns? $O \cdot \rho(i) = O \cdot f_i$ 0:0 1

We have  $\underline{\wedge 42K}$  unknowns in total and  $\underline{\wedge 42K}$  equations
### Bob sends message with corruption protection

Bob wants to send the message "3 0 6" to Alice. Bob knows that at most 1 packet will be **corrupted** when sending the message to Alice.  $n := message \ length$  (3) k := maximum corruptions (1) n+24 = 5 Find a degree 2 polynomial that goes through these points in GF(7)

 $p(x) = x^{2} + x + 1$  Z from  $p_{0.14} + 5 (1, 3) + 12(0) + 13(6)$  p(4) = 0p(5) = 3



What are the extra points Bob generates?

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## Alice receives same message with NO corruption errors

3	0	6	0	3
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Will Alice still get the same correct answer?

Q(n) ad E(a) are the same

## p(x) is unique from Berlekamp-Welch

Thm: Any solution to Berlekamp-Welch will result in the same final p(x) Proof:

Assome tweb another solution &'(2) and E'(14) they satisfy  $Q'(i) = \Gamma E'(i)$  14 i  $\in N + 2R$  $Q'(i) \in E(i) = \cap_i E'(i) \cap_i E(i) = \sum_i E'(i) \cdot Q(i)$  $B'(i) = E(i) = E'(i) \cdot Q(i)$   $E'(i) \in G' \in E'(i) \in C(i)$   $E'(i) \in G' \in E'(i) \in C(i)$  $\frac{Q'(i)}{E'(i)} = \frac{Q(i)}{E(i)} = P(i)$ 

## p(x) is unique from Berlekamp-Welch

Thm: Any solution to Berlekamp-Welch will result in the same final p(x) Proof:



# Review Countrability

### Review

### Review

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